

# INFLUENCES OF RANDOMNESS, AMPLIFICATION ERROR, AND BARCODE SEQUENCES ON MICROBIOTA STRUCTURE ANALYSIS THROUGH HIGH-THROUGHPUT SEQUENCING OF 16S rDNA AMPLICONS

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**Abstract.** High-throughput sequencing of 16S rDNA amplicons is widely used to analyse prokaryotic community structure. However, influence of the error introduced by PCR amplification process on the results is not well evaluated. To evaluate the influences of randomness, amplification error, and barcode sequences on microbiota structure analysis through high-throughput sequencing of 16S rDNA amplicons, we used primers with three different barcode sequences to sequence and compare the high-throughput sequencing results of 16S rDNA amplicons of *Tegillarca granosa* gut microbiota. Our results showed that removing chimeric sequences, different barcoding sequences, and different sequencing depths did not fundamentally change the differences in the microbiota structure and microbiota with different treatments were still aggregated according to the samples. Removing chimeric sequences and adopting different barcode sequences did not obviously affect the composition of the *T. granosa* gut microbiota at the phylum and genus levels. Chimeric sequences mainly led to overestimation of the  $\alpha$ -diversity of the microbiota and the number of rare OTUs, whereas their impact on the number of rare OTUs was insufficient, and random factors had a higher impact than chimeric sequences. When analysing the  $\beta$ -diversity of the microbiota and dominant OTUs, chimeric sequences had little impact on the results.

**Keywords:** *ribosomal small subunit DNA, microbial community, chimeric sequences, rare species, operational taxonomic units*

## Introduction

Analysis of microbial community structure is the basis of microbial ecology research. High-throughput sequencing of 16S rDNA amplicons is widely used to analyse prokaryotic community structures existing in various habitats, such as soil (Rousk et al., 2010; Chu et al., 2020; Mao et al., 2020, 2022), sediment (Paul et al., 2016; Liu et al., 2022b), ponds (Ni et al., 2018; Gong et al., 2021), rivers (Chen et al., 2021; Liu et al., 2022a), lakes (Paul et al., 2016; Wu et al., 2019; Zhang et al., 2020), and vertebrate guts (Ni et al., 2021; Li et al., 2021, 2022a, b; Liu et al., 2022b; Wu et al., 2022; Zhu et al., 2022). However, due to the PCR amplification process, it is

generally believed that the result of high-throughput sequencing of 16S rDNA amplicons is inaccurate due to the different amplification efficiencies of various species, chimeric sequences, random errors, and amplification efficiency differences caused by barcode sequences (Acinas et al., 2005; Takahashi et al., 2014).

Although metagenomic sequencing can overcome the errors introduced by PCR amplification, due to the cost advantage of the high-throughput sequencing of 16S rDNA amplicons in the analysis of microbial community structure, metagenomic sequencing is still difficult to replace the high-throughput sequencing of 16S rDNA amplicons in the analysis of microbial community structure. Therefore, full evaluation of the influences of randomness, amplification error, and barcode sequences on microbiota structure analysis through high-throughput sequencing of 16S rDNA amplicons has important academic value for obtaining accurate and reliable information on microbial community structure (Sipos et al., 2007; Wu et al., 2010; Haas et al., 2011; Sze and Schloss, 2019).

Most large-scale, high-throughput sequencings use DNA barcodes for identification of individuals in pooled biomolecule populations (Hawkins et al., 2018). Considering DNA synthesis and sequencing errors confound the correct interpretation of observed barcodes, error-correcting codes, such as Hamming, Levenshtein codes were used to design the barcode sequences (Hamady et al., 2008; Hawkins et al., 2018). Chen et al. (2020) proposed a barcode construction method, which combines a block error-correction code with a predetermined pseudorandom sequence to generate a base sequence for labeling different samples based on the hidden Markov model and the forward-backward algorithm. However, the error-correcting codes do not properly account for insertions and deletions in DNA barcodes. Therefore, Hawkins et al. (2018) present and experimentally validate filled/truncated right end edit barcodes.

To fully evaluate the influences of randomness, amplification error, and barcode sequences on microbiota structure analysis through high-throughput sequencing of 16S rDNA amplicons, we introduced a novel evaluation method and used primers with three different barcode sequences to sequence and compare the high-throughput sequencing results of 16S rDNA amplicons of three samples of *Tegillarca granosa* gut microbiota. The barcode sequences in the forward primer were used 12-nt barcode sequences that are commonly used in microbiota analysis (Xiang et al., 2018; Ni et al., 2019) for distinguishing samples, and the barcode sequences in the reverse primer were used 8-nt barcode sequences designed by filled/truncated right end edit barcodes (Hawkins et al., 2018) for evaluating the effect of primers on the analysis results.

## Materials and methods

### *Experimental design*

To evaluate the impact of chimeric sequences on sequencing results, three barcode sequences were used for polymerase chain reaction amplification and HiSeq sequencing analysis of three samples (*Fig. 1*). We first compared the difference between operational taxonomic unit (OTU) results with chimeric sequences and those obtained by removing chimeric sequences with Uchime algorithm (Edgar et al., 2011). Then, we analysed the OTUs affected by barcodes, the error OTUs introduced by PCR amplification, and the proportion of OTUs affected by random factors.

We defined the OTUs that were affected by barcodes as follows: in the three samples analysed, the OTU abundances corresponding to the barcode in each sample were lower or higher than 10% of their average abundance.

The error OTUs introduced by PCR amplification were defined as follows: in the single sample, the OTU abundances corresponding to one barcode sequence were obviously higher than those corresponding to the other two barcode sequences, the OTU abundances corresponding to the other two barcode sequences were not significantly different, and these results did not appear in other samples.

OTUs affected by random factors were defined as the abundances of the OTUs with significant differences between three barcoding sequence results from the same sample, or the singleton in the sample.

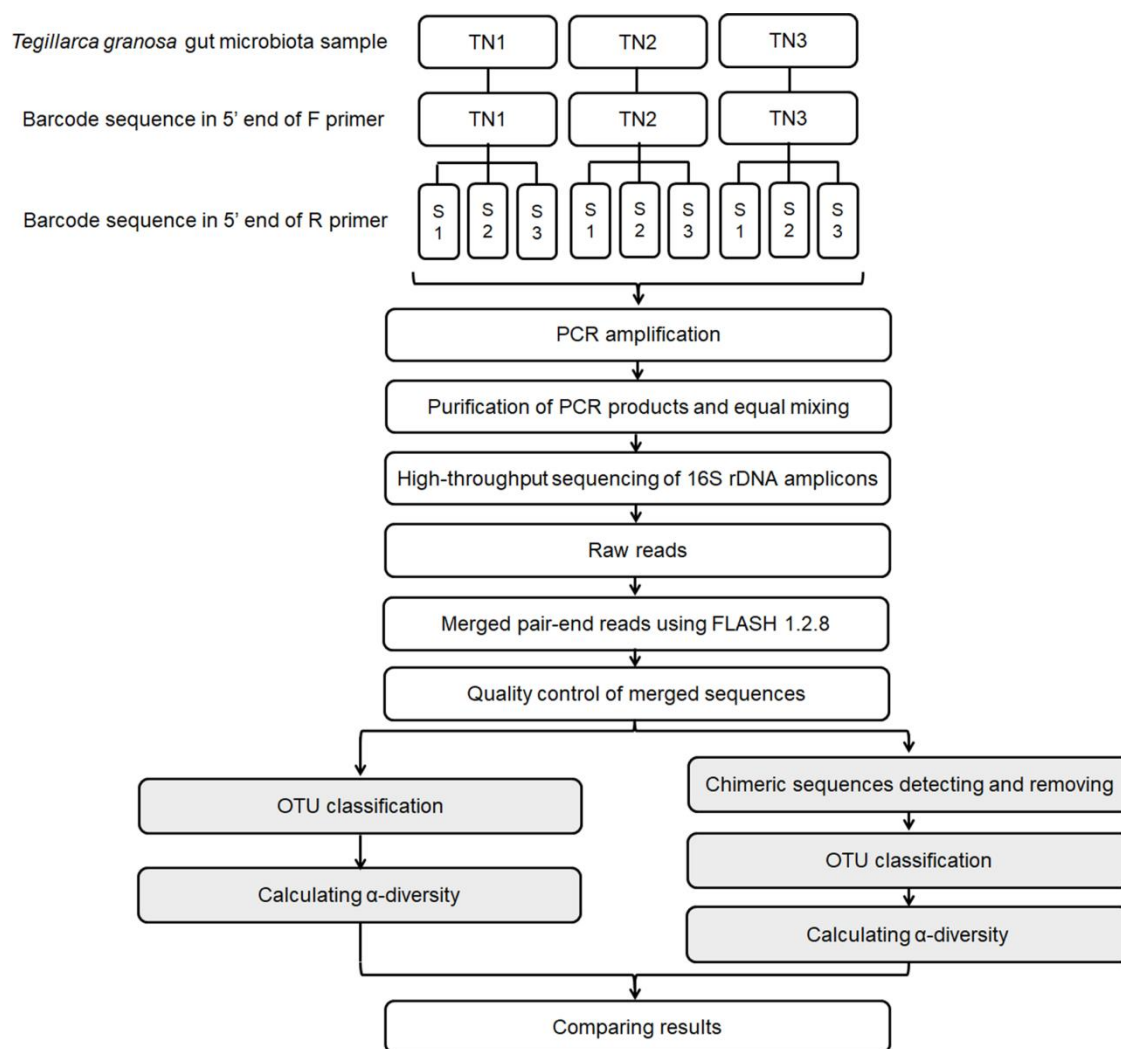


Figure 1. Experimental frame

### Sample collection and high-throughput sequencing of 16S rDNA amplicons

*T. granosa* samples were collected from a farm in Wenzhou, China (28.09 N 121.05 E). The total DNA of gut microbiota was extracted using the PowerFecal DNA kit (QIAGEN, Germany) according to the manufacturer's introductions. The V4-V5

hypervariable region of prokaryotic 16S rDNA was amplified using the primer pair 515F and 909R as previously described (Xiang et al., 2018; Ni et al., 2019). The amplicons were quantified with a NanoDrop 2000 spectrophotometer (ThermoFisher, USA), and equimolar amounts of each sample were pooled and purified using the AxyPrep DNA gel extraction kit (Axygen, China). The purified DNA was sequenced using an Illumina HiSeq 3000 platform at Guangdong Meilikang Bio-Science Ltd., China.

Raw reads were merged using FLASH 1.2.8 (Magoc and Salzberg, 2011) and processed using QIIME 1.9.0 (Caporaso et al., 2010) as previously described (Ni et al., 2017a, 2021). The sequences were clustered into OTUs at 97% identity using UPARSE software (Edgar, 2013). Each OTU was taxonomically assigned using the RDP classifier (Wang et al., 2007) with the gg\_13\_8 dataset.

### Data analysis

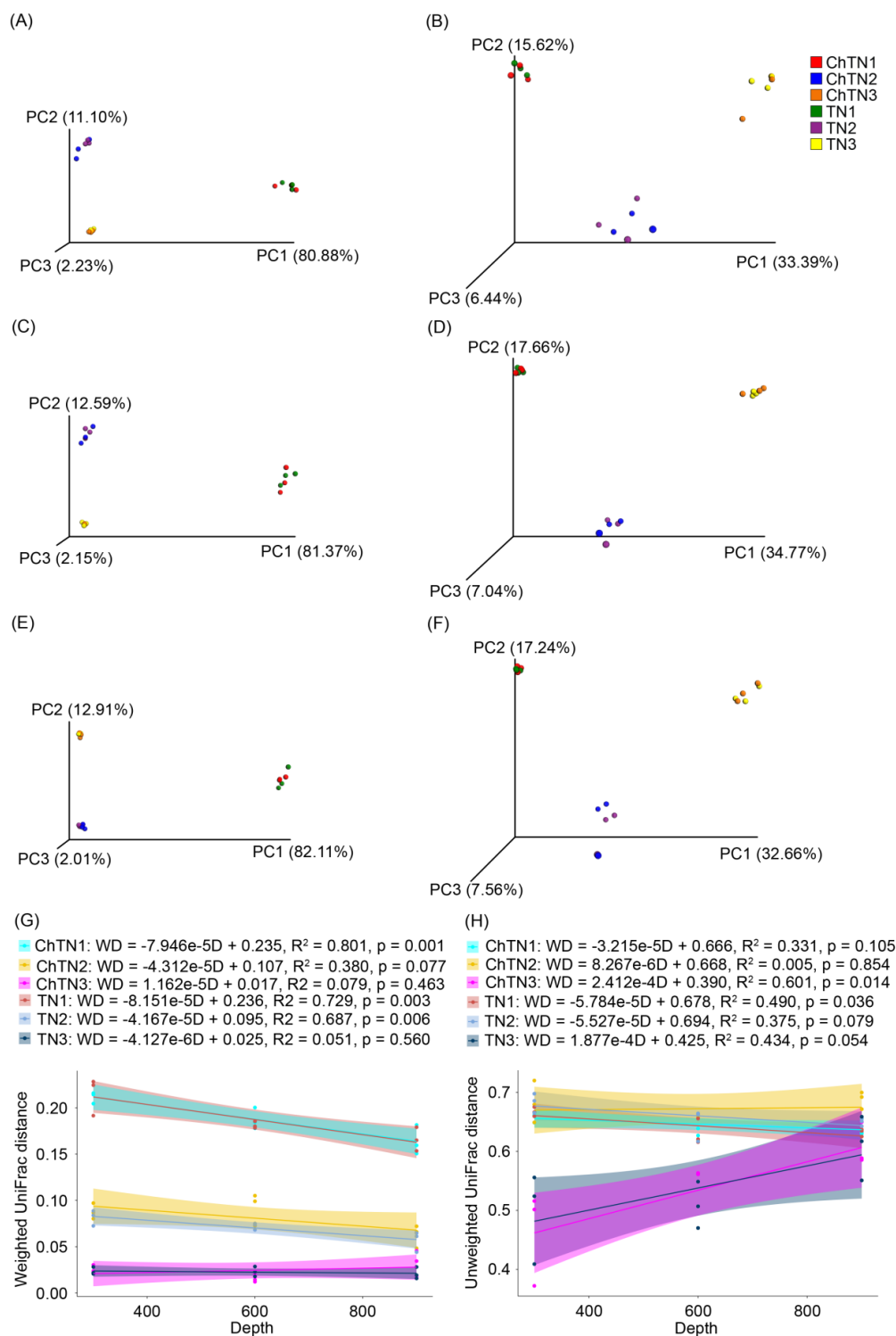
Data were showed as means  $\pm$  standard errors. The Kruskal-Wallis H-test with Dunn's *post-hoc* test was conducted using R 4.2.0 (R Core Team, 2013). Nonparametric multivariate analysis of variance (PERMANOVA) (Anderson, 2001) was conducted using the vegan package (Dixon, 2003) in R 4.2.0. Principal coordinates analysis (PCoA) was conducted using the QIIME 1.9.0 pipeline (Caporaso et al., 2010). Differences with  $p < 0.05$  were considered statistically significant.

### Results

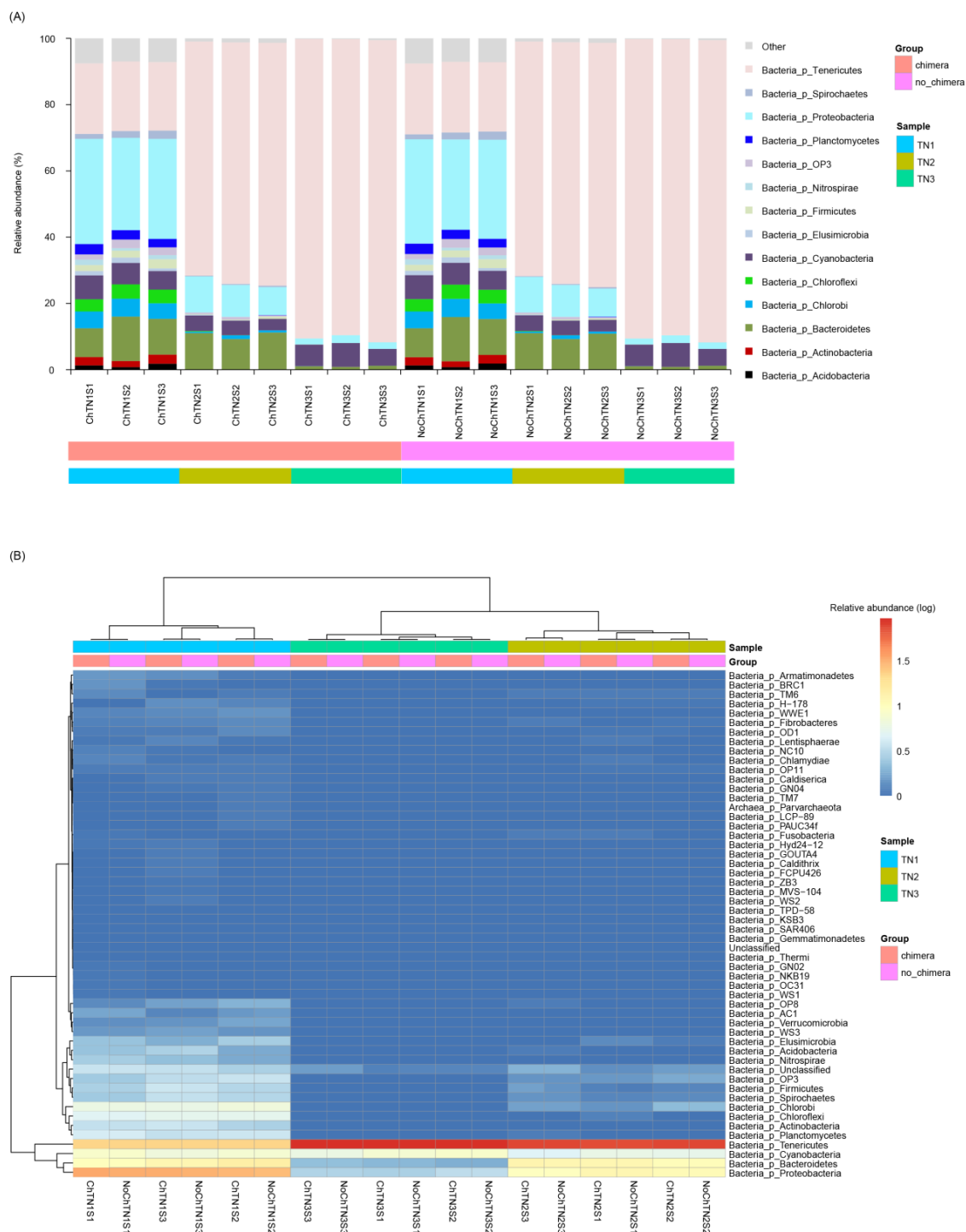
PCoA results showed that in general, whether chimeric sequences were removed, different barcoding sequences, and different sequencing depths did not fundamentally change the differences in the microbiota structure, and microbiota with different treatments were still aggregated according to the samples (Fig. 2). Moreover, even though only 300 16S rDNA sequences were used, the *T. granosa* gut microbiota structure could be effectively distinguished (Fig. 2). Correlation analysis showed that the weighted and unweighted UniFrac distances of the microbiota did not exhibit a consistent change pattern with the sequencing depth (Fig. 2G, H).

Removing chimeric sequences and adopting different barcode sequences did not obviously affect the composition of the *T. granosa* gut microbiota at the phylum level (Fig. 3). Tenericutes, Spirochaetes, Proteobacteria, Planctomycetes, OP3, Nitrospirae, Firmicutes, Elusimicrobia, Cyanobacteria, Chloroflexi, Chlorobi, Bacteroidetes, Actinobacteria, and Acidobacteria dominated the *T. granosa* gut microbiota (Fig. 3A). Heatmap combined with cluster analysis showed that removing the chimera sequences had less impact on the composition of the *T. granosa* gut microbiota at the phylum level than the results that were sequenced with different barcode sequences (Fig. 3B). These results indicated that the errors introduced by PCR amplification, the differences caused by barcode sequences, or random errors had more influence on the composition of the *T. granosa* gut microbiota at the phylum level than the chimeric sequences introduced by PCR amplification.

A total of 583 genera were detected in the *T. granosa* gut microbiota and 25 genera dominated the microbiota (Fig. 4A). *Mycoplasma* was the most abundant genus in the *T. granosa* gut microbiota. *Synechococcus*, *Vibrio*, *Treponema*, *Pseudoalteromonas*, *Crenothrix*, *Methylothermus*, and many unidentified genera were also detected as dominant genera (Fig. 4A).



**Figure 2.** Principal coordinate analysis (PCoA) profiles and correlations between sequencing depth and UniFrac distances of *Tegillarca granosa* gut microbiota based on different sequence treatments. (A) and (B) Weighted and unweighted PCoA profiles constructed based on 300 sequences per sample, respectively; (C) and (D) weighted and unweighted PCoA profiles constructed based on 600 sequences per sample, respectively; (E) and (F) weighted and unweighted PCoA profiles constructed based on 900 sequences per sample, respectively; (G) and (H) correlations between weighted and unweighted UniFrac distances of the microbiota and sequencing depths, respectively. The shaded part is the 95% confidence interval



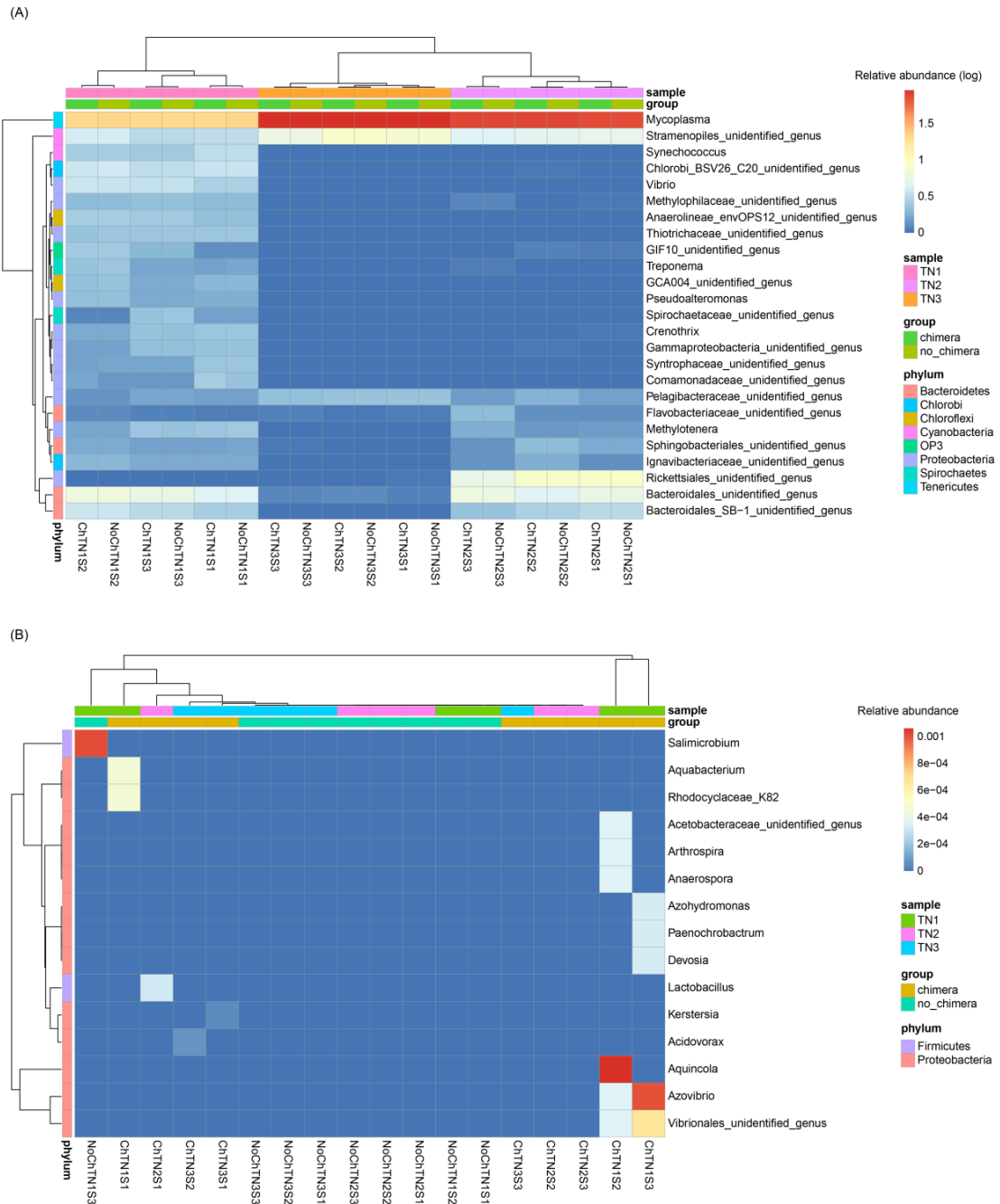
**Figure 3.** Phylum compositions of *Tegillarca granosa* gut microbiota based on different sequence treatments. (A) Dominant phyla of *T. granosa* gut microbiota; (B) heatmap profile shows the relative abundance of phyla of *T. granosa* gut microbiota

Heatmap combined with cluster analysis showed that removing the chimeric sequences did not influence the composition of dominant genera and had less impact on the composition of the *T. granosa* gut microbiota at the genus level than the results that

were sequenced with different barcode sequences (Fig. 4A). To analyse the influence of chimeric sequences on the genus composition of the *T. granosa* gut microbiota, we screened out the genera with differences between the results of removing and not removing chimeric sequences. Our results showed that the genera caused by chimeras were most abundant in TN1S2 and TN1S3, among which *Aquicola*, *Azovibrio*, *Arthrospira*, *Anaerospira*, and each unidentified genus of the family Acetobacteraceae and order Vibrionales were the genera caused by chimeras in TN1S2, and *Azohydromonas*, *Paenochrobactrum*, *Devosia*, *Azovibrio*, and an unidentified genus of order Vibrionales were the genera caused by chimeras in TN1S3. *Aquabacterium* and K82 of the family Rhodocyclaceae were the genera caused by chimeras in TN1S1. Moreover, *Lactobacillus*, *Acidovorax*, and *Kerstersia* were the genera caused by chimeras in TN2S1, TN3S2, and TN3S1, respectively. No genus caused by chimeras was detected in TN3S3, TN2S2, or TN2S3 (Fig. 4B). These results indicated that the occurrence of chimeras was completely random.

OTU is the most commonly used classification level for microbiota structure analysis, and is usually used to calculate the  $\alpha$ -diversity indices (such as Shannon index and Chao1 index) of the microbiota, and perform PCoA or NMDS analysis (Ni et al., 2018; Wu et al., 2019, 2022; Chen et al., 2021). Therefore, we compared the effects of removing chimeric sequences on the  $\alpha$ -diversity and OTU composition of the *T. granosa* gut microbiota. As we expected, chimeras significantly increased the OTU number, Shannon index and Simpson index of the microbiota (Wilcoxon test,  $p < 0.05$ ; Fig. 5A-C), whereas they did not significantly increase the Chao1 index (Wilcoxon,  $p = 0.055$ ; Fig. 5D). Among the 3087 OTUs obtained, there were 19 dominant OTUs. Removing chimeric sequences did not change the composition of the dominant OTUs of the *T. granosa* gut microbiota. Chimeric sequences only slightly reduced the relative abundances of the dominant OTUs in the communities (Fig. 6A). Furthermore, among the 19 dominant OTUs, since most OTUs were not detected in all samples, we only evaluated the barcode impact of seven dominant OTUs that were detected in all samples. Our results showed that among the seven dominant OTUs, the relative abundances of only two dominant OTUs were significantly underestimated in barcode B3 amplifications (<90% of their average abundances), although the relative abundances of these dominant OTUs in the samples corresponding to different barcodes varied greatly (most of the relative abundances differed by > 10%; Appendix 1). We believe that the difference introduced by nonbarcodes was mainly caused by random factors. These results indicated that although barcode sequences caused the relative abundances of specific dominant OTUs to be incorrectly estimated, random factors had a greater impact. Moreover, three dominant OTUs as the error OTUs introduced by PCR amplification were detected in sample TN1 (Fig. 6A).

For 3068 rare OTUs, only 73 OTUs were caused by chimeric sequences, whereas 1637 OTUs were singletons. Moreover, 116 rare OTUs avoided becoming singletons because we used three barcode sequences to analyse a sample simultaneously (Table 1). These results indicated that the interference of chimeric sequences with microbiota structure was very weak. Furthermore, the number of OTUs caused by chimeric sequences was significantly positively correlated with the Shannon index of the microbiota (Pearson's product-moment correlation,  $R^2 = 0.575$ ,  $p = 0.018$ ; Fig. 6B), and the number of singletons was significantly positively correlated with the product of the Shannon index and sequencing depth of the communities (Pearson's product-moment correlation,  $R^2 = 0.671$ ,  $p = 0.007$ ; Fig. 6C).

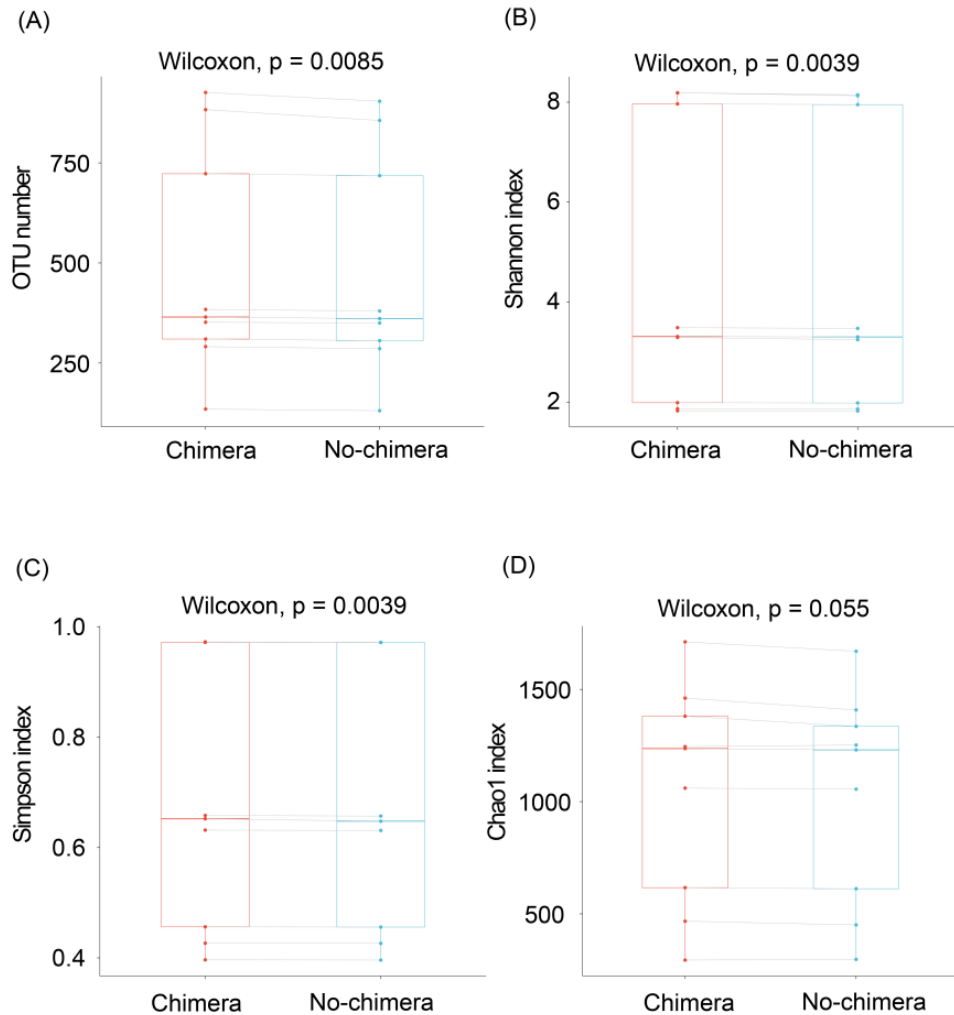


**Figure 4.** Dominant genera of *Tegillarca granosa* gut microbiota (A) and the different genera caused by the chimera sequences in the samples (B)

**Table 1.** OTU number caused by chimeric sequences (OTU\_CS), singletons and rare OTU number that avoid becoming singletons as repeat sequencing using different barcodes (OTU\_R)

OUT number	TN1	TN2	TN3
OUT_CS	17.67 ± 6.57	3.67 ± 0.88	4.00 ± 0.00
Singletons	259.33 ± 17.57	87.67 ± 26.40	198.67 ± 15.88
OUT_R	49.67 ± 4.67	9.00 ± 1.73	21.00 ± 1.53

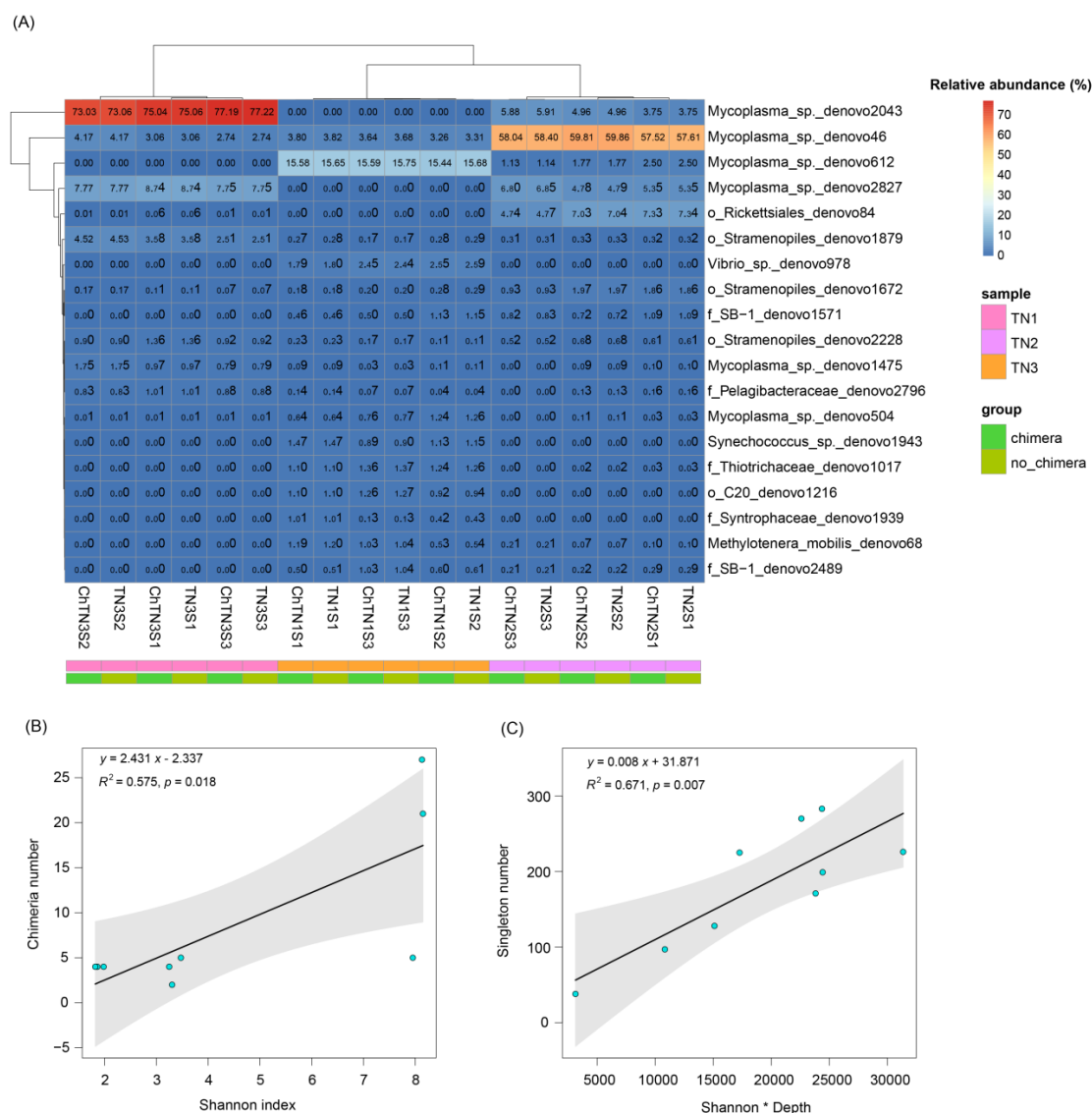




**Figure 5.** Impact of chimeras on the  $\alpha$ -diversity of the *Tegillarca granosa* gut microbiota. (A) OTU number; (B) Shannon index; (C) Simpson index; (D) Chao1 index

## Discussion

How to obtain “real” information on microbiota structure has always been the core issue of microbial ecology. Although culture-free molecular technologies have been widely used in the ecological investigation of microbiota structure (Lazarevic et al., 2009; Siddiqui et al., 2011; Ni et al., 2014a, b, 2017b; Doyle et al., 2016; Liu et al., 2022a, b), errors are introduced from sample collection, cell lysis and DNA extraction, PCR amplification to the analysis of amplification products and data, resulting in our interpretation of microbiota structure being misleading (Wintzingerode et al., 1997). Chimeric sequences are the most concerning problem in the high-throughput sequencing analysis of 16S rDNA amplicons of microbiota structures (Haas et al., 2011; Edgar et al., 2011). However, our results showed that chimeric sequences mainly led to the overestimation of the  $\alpha$ -diversity of microbiota and affected the number or rare OTUs, whereas their impact on the number of rare OTUs was insufficient, and random factors had a greater impact on the rare OTUs than the chimeric sequences. When analysing the  $\beta$ -diversity of the *T. granosa* gut microbiota and using dominant OTUs, chimeric sequences had little impact on the results.



**Figure 6.** Relative abundances of dominant OTUs of *Tegillarca granosa* gut microbiota (A), correlation between OTU number caused by chimeric sequences and Shannon index (B), and correlation between singleton number and Shannon index  $\times$  sequencing depth (C). The shaded part is the 95% confidence interval

Barcode sequences are usually introduced into primers for PCR amplification to distinguish amplified fragments from different sample sources (Hamady et al., 2008; Hawkins et al., 2018; Chen et al., 2020). However, to date, there has been little evaluation of the impact of different barcode sequences on multiple amplification results of microbiota structure analysis. Our results showed that although different barcode sequences did not affect the relative abundances of all OTUs, and their impact on the relative abundances of OTUs was not as obvious as that caused by random factors, different barcode sequences indeed led to the incorrect estimation of the relative abundances of some specific OTUs (*Appendix 1*). Therefore, to obtain more accurate relative abundance data, we suggest using different barcode sequences to amplify and

sequence the same sample, and then using the average of the relative abundances of each OTU from the same samples as the relative abundance of the OTU in the sample.

Although rare species play an important role in the stability of microbiota structure (Jiao et al., 2017; Wu et al., 2017; Gotoh et al., 2019; Wang et al., 2022), our results showed that the lack of sequencing depth has a great impact on the relative abundances of rare OTUs when using high-throughput sequencing of 16S rDNA to analyse microbiota structure. Furthermore, random factors were also important factors that mainly affected the relative abundances of rare OTUs in the microbiota. Therefore, sufficient sequencing depth combined with repeated analysis can obtain more accurate relative abundance data of rare OTUs in the microbiota.

## Conclusions

In general, removing chimeric sequences, different barcoding sequences, and different sequencing depths did not fundamentally change the differences in the microbiota structure, and microbiota with different treatments were still aggregated according to the samples. Removing chimeric sequences and adopting different barcode sequences did not obviously affect the composition of the *T. granosa* gut microbiota at the phylum and genus levels. Chimeric sequences mainly led to overestimation of the  $\alpha$ -diversity of the *T. granosa* gut microbiota and the number of rare OTUs, whereas their impact on the number of rare OTUs was insufficient and random factors had a higher impact than chimeric sequences. When analysing the  $\beta$ -diversity of the microbiota and dominant OTUs, chimeric sequences had little impact on the results. Although different barcode sequences did not affect the relative abundances of all OTUs, and their impact on the relative abundances of OTUs was not as obvious as that caused by random factors, different barcode sequences indeed led to the incorrect estimation of the relative abundances of some specific OTUs. In this study, we only used three barcode sequences to analyse three *T. granosa* gut microbiota samples, and larger environmental microbiota samples need to be further analysed.

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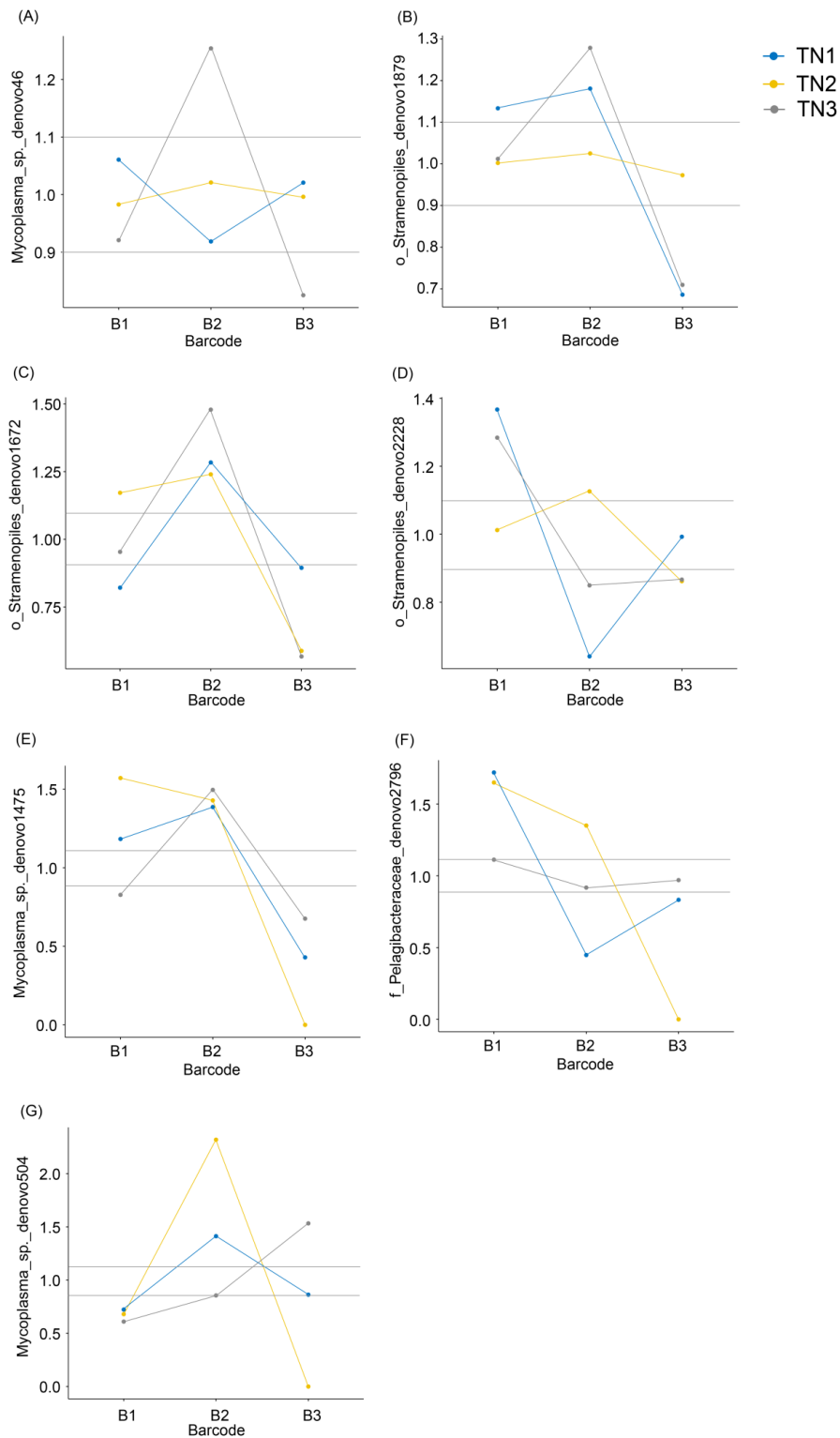
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## APPENDIX



**Appendix 1.** Effects of different barcode sequences on the relative abundance of dominant OTUs. The ordinate is the ratio of the relative abundance corresponding to each barcode sequence to the average value obtained from three different barcode sequences in the same sample